

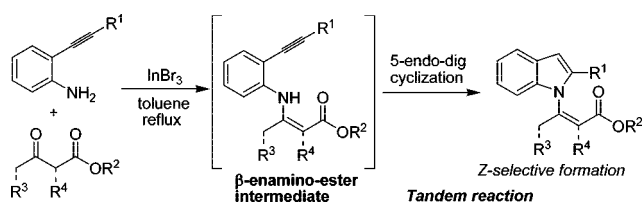
Tandem β -Enamino Ester Formation and Cyclization with *o*-Alkynyl Anilines Catalyzed by InBr_3 : Efficient Synthesis of β -(*N*-Indolyl)- α,β -unsaturated Esters

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Received November 01, 2008



A tandem reaction providing β -(*N*-indolyl)- α,β -unsaturated esters from β -keto esters and *o*-alkynyl anilines was developed. *Z*-Alkenes were selectively formed due to the stability of the β -enamino ester as an intermediate of the reaction. This reaction includes the intermolecular β -enamino ester formation and intramolecular cyclization catalyzed by InBr_3 .

The development of novel tandem reactions is important because they can easily provide complex molecules, simplify the procedures, and reduce the waste.¹ They generally include several catalytic cycles. When several functional groups were activated by a catalyst, it was necessary not only to promote each step but also to activate each functional group in order.² During the course of our research for efficient methods for heterocyclic compounds using β -enamino esters,³ we examined the reaction of β -enamino esters prepared from β -keto esters and *o*-alkynyl anilines and found that InBr_3 promotes two reactions, intermolecular amination and subsequent intramolecular cyclization, at once and activates the formation of the β -enamino esters faster than the intramolecular cyclization. We now report a tandem reaction to provide diverse *Z*- β -(*N*-indolyl)- α,β -unsaturated esters.

Indoles are some of the most important heterocycles because they are found in various biologically active compounds, and

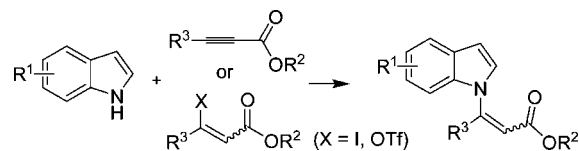
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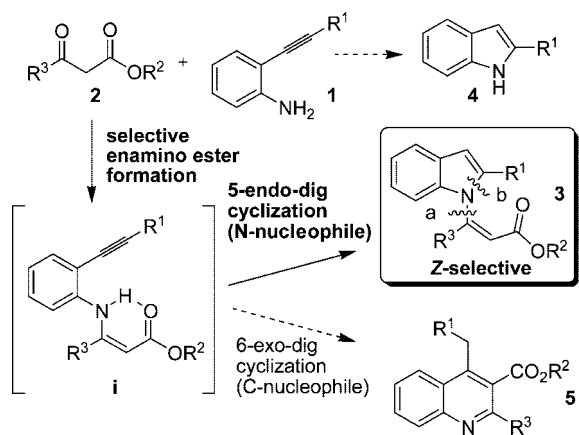
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SCHEME 1. Previous Methods



SCHEME 2. Strategy



numerous methods for the syntheses of functionalized indoles have been developed.⁴ However, there are only a few methods to synthesize β -(*N*-indolyl)- α,β -unsaturated esters.^{5,6} Although Michael addition to alkynoates or the transition metal-catalyzed coupling reaction with alkenyl iodide or triflate were reported to be syntheses of these compounds (Scheme 1), they had limitations with the synthesis of diverse substituted alkenes: α -positions of the products from alkynoates or alkenyl iodide were limited to being a proton⁷ and *Z* alkenes were difficult to obtain from *Z*-vinyl triflate because of steric hindrances. Since their synthetic utilities are potentially interesting,⁵ to develop an efficient synthetic method for them having diverse substituents is desirable.

Our approach for β -(*N*-indolyl)- α,β -unsaturated esters **3** is illustrated in Scheme 2. Contrary to the reported methods, we postulated making two C–N bonds (bonds **a** and **b** of compound **3**) at once by tandem reaction. Thus bond **a** would be made by amination of β -keto esters **2** with *o*-alkynyl anilines **1**, and bond **b** would be made by 5-*endo-dig* intramolecular hydroamination of β -enamino ester **i**.⁸ This approach was attractive on several points for making bond **a**: the formation of β -enamino ester

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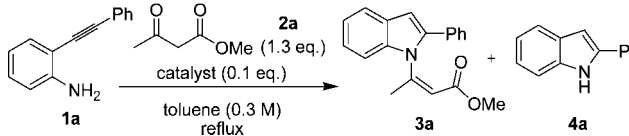
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seemed to be relatively easier than previous methods and diverse β -ketoesters were available. In addition, the theoretical coproduct of this process was only water. Furthermore, due to the stability of hydrogen bonding of the β -enamino ester, the geometries of alkenes were expected to be Z.

Although each individual reaction of the β -enamino ester formation⁹ and catalytic intramolecular hydroamination of *o*-alkynyl aniline derivatives¹⁰ was well documented, there were no reports of what caused the two reactions at once. In addition, to the best of our knowledge, no intramolecular cyclization reaction of β -enamino esters prepared from *o*-alkynyl aniline to give indole derivatives has been reported yet. For the success of our aim, the chemoselectivity (β -keto esters vs alkynes) of the catalyst was very important. When the affinity of the catalyst to alkynes was much stronger than that to β -keto esters, intramolecular hydroamination of **1** would predominantly occur to afford indole **4** and the desired tandem reaction would fail.¹¹ Therefore, to achieve this tandem reaction, it was very important to find a catalyst that promotes both intermolecular amination of the β -keto esters **2** and subsequent intramolecular cyclization of β -enamino ester **i** in order. On the other hand, from the viewpoint of reactivity of β -enamino esters, their α -carbons were also nucleophilic.^{12,13} Then two reaction pathways from **i**, 5-*endo-dig* cyclization giving indole derivatives **3** and 6-*exo-dig* cyclization giving quinoline derivatives **5**, were possible. Therefore, the mode of cyclization of **i** was also of interest.

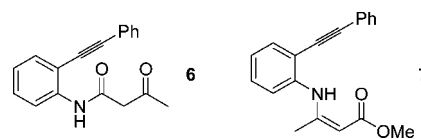
We first screened the catalysts using the *o*-alkynyl aniline **1a** and β -keto ester **2a** (Table 1). When NaAuCl₄·2H₂O was

TABLE 1. Optimization^a



entry	catalyst	time	yield (3a, 4a) (%) ^b
1	NaAuCl ₄ ·2H ₂ O	20 min	85 (34, 51)
2	Cu(OAc) ₂	6 h	N.D. ^c
3	AgBF ₄	3.5 h	N.D. ^d
4	Yb(OTf) ₃	5.5 h	N.D. ^e
5	InBr ₃	30 min	91 (82, 9)
6	InCl ₃	30 min	83 (78, 5)
7	In(OTf) ₃	2.7 h	24 (23, 1)
8 ^f	InBr ₃	30 min	99 (91, 8)

^a Reaction was carried out with 0.4 mmol of **1a**. ^b Ratio was determined by ¹H NMR analysis. ^c Main product was amide **6**. ^d Main product was enamino ester **7**. ^e Main products were amide **6** and enamino ester **7**. ^f 0.05 equiv of InBr₃ was used.



used, the desired product **3a** was obtained in only 34% yield, and 2-Ph indole **4a**, which was the cyclized product of **1a**, was the predominant product (entry 1). Other metals such as Cu(OAc)₂, AgBF₄, and Yb(OTf)₃ were found to be ineffective (entries 2–4). The reaction with Cu(OAc)₂ produced the β -keto amide **6**. The reactions with AgBF₄ or Yb(OTf)₃ produced the β -enamino ester **7** but did not allow the sequential intramolecular cyclization. On the other hand, InBr₃ was found to be an efficient catalyst in this tandem reaction, which resulted in a good yield and selectivity (entry 5).¹⁴ Although InCl₃ was also efficient and a high selectivity was observed, the yields were slightly low because of the side production of **6** (entry 6). Reaction with In(OTf)₃ resulted in low yield (entry 7). To our surprise, 6-*exo-dig* cyclization of enamino ester to afford quinoline derivative **5** was not observed in this reaction. As a result of the further optimization, it was found that the reaction carried out with the β -keto ester (1.3 equiv) and InBr₃ (0.05 equiv) in refluxing toluene (0.3 M) gave the best result (entry 8).¹⁵

To confirm the reaction pathway, the reaction of **4a** and **2a** with InBr₃ in refluxing toluene was carried out. However, **3a** was not obtained even after 16 h. This fact excluded the tandem cyclization/enamino ester formation pathway. Meanwhile, the reaction of **7** with InBr₃ afforded **3a** in 92% yield. Therefore, this tandem reaction was considered to proceed through the following sequence: (1) the activation of the β -keto esters, (2)

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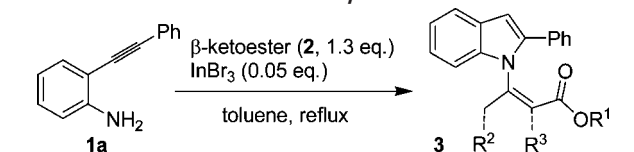
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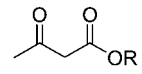
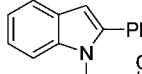
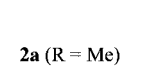
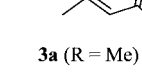
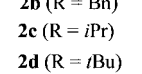
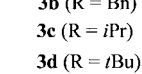
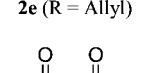
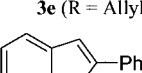
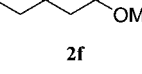
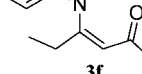
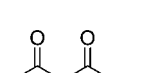
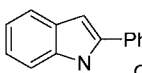
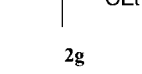
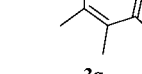
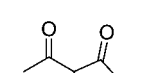
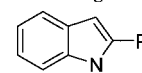
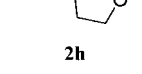
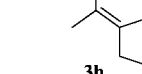
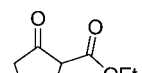
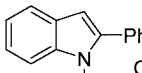
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(15) One reviewer suggested that the reaction of β -keto ester (1.0 equiv) and slight excess of *o*-alkynyl aniline (1.3 equiv) should be carried out. The reaction of **2a** (1.0 equiv) and **1a** (1.3 equiv) with InBr₃ (0.05 equiv) yielded **3a** (75%) and **4a** (21%) (yields were based on **1a**).

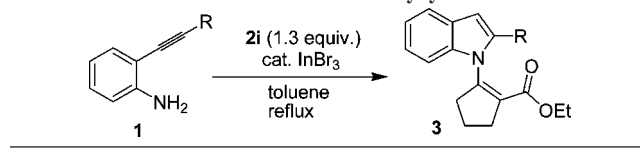
TABLE 2. Reactions with Various β -Keto Esters^{a,b}


Entry	β -Ketoester (2)	Product (3)	Time	Yield (%)
1			30 min	95
2			30 min	88
3			30 min	81
4			30 min	54
5			30 min	72
6			45 min	71
7			1 h	73
8			40 min	81
9			20 min	91
10 ^c			30 min	94

^a Reaction was carried out with 0.4 mmol of **1a** unless otherwise noted. ^b Starting materials were completely consumed in every entry (judged by TLC). ^c 3.8 mmol of **1a** was used.

formation of β -enamino esters, and (3) intramolecular cyclization promoted by activation of the acetylene.

Under the optimized reaction conditions, the generality of the reaction with various β -keto esters was examined (Table 2). The reactions with methyl, benzyl, and isopropyl acetoacetate (**2a–c**), which each gave good results (entries 1–3). The reaction with *tert*-butyl acetoacetate **2d** decreased the yield (entry 4) probably due to the acid-sensitive *tert*-butyl ester, while the allyl acetoacetate **2e** resulted in a moderate yield (entry 5). Various β -keto esters having substitutions on their α and/or γ positions were next examined. The use of methyl propionylacetate **2f** resulted in a 71% yield (entry 6). The use of ethyl 2-methyl acetoacetate **2g** also gave a moderate result (entry 7). β -Keto esters having a lactone ring, α -acetylbutyrolactone **2h**, and a cyclic ketone, ethyl 2-oxocyclopentanecarboxylate **2i**, both resulted in good yields (entries 8 and 9). The reaction with 3.8 mmol of **1a** also proceeded without any problems and the gram amount of **3i** was obtained in 94% yield (entry 10). As shown

TABLE 3. Reactions with Various *o*-Alkynyl Anilines and **2i**^{a,b}


entry	R	time	yield (%)
1 ^c	<i>p</i> -Cl-C ₆ H ₄ (1b)	20 min	3j , 94
2 ^c	<i>p</i> -MeO-C ₆ H ₄ (1c)	1 h	3k , 84
3 ^d	<i>n</i> -Bu (1d)	24 h	3l , 67
4 ^d	cyclohexyl (1e)	24 h	3m , 70
5 ^c	cyclohexenyl (1f)	1 h	3n , 67

^a Reaction was carried out with 0.4 mmol of **1a**. ^b Starting materials were completely consumed in every entry (judged by TLC). ^c 0.05 equiv of InBr₃ was used. ^d 0.15 equiv of InBr₃ was used.

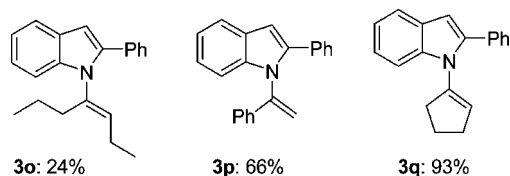


FIGURE 1. Products from simple ketones.

as these results, the developed reaction readily provided α -substituted α,β -unsaturated esters that were difficult to obtain with the reported methods. Furthermore, as we expected, the geometries of the products were completely controlled and every product was the *Z*-alkene.¹⁶

We next examined the reactions with various *o*-alkynyl anilines and β -keto ester **2i** (Table 3). Substituent effects on the para position of the phenyl group were first examined and it was found that both the electron-withdrawing Cl group and the electron-donating MeO group were applicable (entries 1 and 2). The substrates having an *n*-butyl and cyclohexyl gave moderate yields (entries 3 and 4), though 0.15 equiv of InBr₃ and a long reaction time were necessary. Furthermore, a substrate having a cyclohexenyl group, whose olefin could be transformed into various functional groups, was tolerant (67%, entry 5).¹⁷

Lastly, to compare the reactivity between the β -keto esters and simple ketones, 4-heptanone and *o*-alkynyl aniline **1a** were subjected to the optimized reaction conditions. Although the tandem reaction occurred, the yield of the desired **3o** was only 24% and the major product was indole **4a** (see Table 1). Therefore, the 1,3-dicarbonyl structures were considered to be important for good yield probably due to the high affinity to InBr₃ or to stabilize the enamine structures of the intermediates or the products. In addition, interestingly, **3o** (Figure 1) was formed *E*-selectively. This result showed a good contrast to the result with β -keto esters, which preferred *Z*-selectivity due to the intramolecular hydrogen bonding of β -enamino esters. On the other hand, the reaction with acetophenone produced the desired compound **3p** in 66% yield. Furthermore, the reaction with cyclopentanone produced compound **3q** in high yield (93%). These results showed that the developed tandem reaction could be expanded to *N*-vinyl indole syntheses¹⁸ by using

(16) Determined by NOE analysis.

(17) Unfortunately, the reaction with *o*-ethynyl aniline afforded complex mixtures including an enamino ester bearing a terminal alkyne such as **7** and a quinoline derivative by the dimerization of *o*-ethynyl aniline (for the dimerization of *o*-ethynyl aniline, see ref 10s).

(18) Only a few methods for the synthesis of *N*-vinyl indoles were reported. References are cited in ref 8.

various ketones in place of β -keto esters, though further optimizations would be necessary.

In conclusion, we have developed a novel tandem reaction providing diverse Z - β -(N -indolyl)- α,β -unsaturated esters from readily available β -keto esters and o -alkynyl anilines. This approach is very effective and the generality is high and can provide α -substituted anilines, which were difficult to prepare with the previous methods. The finding that InBr_3 promotes two reactions of intermolecular amination and subsequent intramolecular cyclization at once and activates formation of the β -enamino esters faster than the intramolecular cyclization is very interesting. In addition, this is the first example of the reaction of o -alkynyl anilines having β -enamino ester structures and it was found that 5 -*endo-dig* cyclization proceeded rather than 6 -*exo-dig* cyclization. Further investigations for N -vinyl indoles, clarification of the difference between β -keto esters and simple ketones, and synthesis of biologically active compounds are underway.

Experimental Section

Representative Procedure for the Tandem Reaction. Compound 3a. InBr_3 (7.3 mg, 0.021 mmol) was added to a solution of o -alkynyl aniline **1a** (80.0 mg, 0.414 mmol) and β -keto ester **2a** (58 μL , 0.538 mmol) in toluene (1.38 mL) at rt under N_2 . The

reaction mixture was heated under reflux. After 30 min, the reaction mixture was cooled to rt, quenched with water, and extracted with AcOEt . The organic phase was washed with sat. NaCl aq, dried over Na_2SO_4 , and concentrated in vacuo. The residue was purified by SiO_2 column chromatography (two purifications: first eluent, hexane/ AcOEt = 10/1; second eluent, benzene/hexane = 1/1) to afford **3a**. Colorless oil; ^1H NMR (300 MHz, CDCl_3) δ 7.63–7.56 (m, 3H), 7.44–7.32 (m, 3H), 7.20–7.11 (m, 3H), 6.74 (s, 1H), 6.17 (s, 1H), 3.49 (s, 3H), 1.89 ppm (d, J = 0.9 Hz, 3H); ^{13}C NMR (75.5 MHz, CDCl_3) δ 164.5, 148.2, 139.6, 137.1, 132.9, 128.8, 128.6, 127.88, 127.85, 122.5, 120.7 (2C), 118.1, 110.2, 104.6, 51.5, 24.0 ppm; IR (KBr) 3059, 2949, 1732, 1661, 1454, 1213 cm^{-1} ; HRMS (FAB) calcd for $\text{C}_{19}\text{H}_{17}\text{NO}_2\text{Na}$ [$\text{M} + \text{Na}$] $^+$ 314.1157, found 314.1157. Anal. Calcd for $\text{C}_{19}\text{H}_{17}\text{NO}_2$: C, 78.33; H, 5.88; N, 4.81. Found: C, 78.29; H, 6.01; N, 4.77.

Acknowledgment. We are grateful to Dr. Kotoku, N. for his help with NMR measurement. This work was financially supported by a Grant-in-Aid for Scientific Research (A) and (B) and a Grant-in-Aid for Exploratory Research from Japan Society for the Promotion of Science.

Supporting Information Available: Experimental details and detailed spectroscopic data of all new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

JO802435B